

# Treasury Curve

## A Proven, Regulated, and Financially Strong Partner

### A Stable, Longstanding Leader in Treasury Optimization

Treasury Curve is a privately held company with more than 20 years of success in the financial industry. Our long-standing presence reflects stability, reliability, and continued growth, making us a trusted partner for treasury and finance professionals.

Our financial strength is reflected in our ability to innovate, expand, and consistently deliver best-in-class treasury management solutions.



### Regulated Oversight That Sets Us Apart

Unlike many competitors, Treasury Curve operates as a regulated entity, subject to monthly financial reviews by regulators to ensure our ability to cover future liabilities. Since 2006, we have consistently met and exceeded all financial and compliance requirements.

#### ✓ FINRA-Regulated

Treasury Curve adheres to net capital requirements, ensuring we maintain sufficient reserves to support operations, safeguard client assets, and uphold financial integrity.

#### ✓ Public Accountability

Compliance with FINRA's net capital rule is a matter of public record, reinforcing our credibility and commitment to financial security.

#### ✓ Flawless Compliance Record

We have never had an exception on our broker-dealer status since our inception, underscoring our financial strength and operational excellence.

## Best-in-Class Security & Risk Management

Treasury Curve upholds the highest security and compliance standards, ensuring financial and operational integrity.

#### ✓ SOC 1 Type II & SOC 2 Type II Audited

Treasury Curve undergoes annual SOC 1 Type II and SOC 2 Type II audits, validating the effectiveness of our internal controls and security framework.

##### Enhanced Trust & Security

Protects client data through rigorous security, availability, and privacy controls.

##### Regulatory & Compliance Readiness

Supports compliance with SOX, GDPR, HIPAA, and CCPA, helping clients meet regulatory obligations.

##### Risk Mitigation & Stronger Controls

Proactively identifies and addresses potential risks to strengthen financial and operational security.

#### ✓ SWIFT Supervised Institution

Treasury Curve operates as a SWIFT Supervised Institution, adhering to stringent security, compliance, and operational risk frameworks. We comply with global financial regulations, including KYC, AML, and counterterrorist financing measures, ensuring seamless and secure financial transactions.

#### ✓ Comprehensive Insurance Coverage

Treasury Curve maintains business liability, errors & omissions (E&O), and cybersecurity insurance, reinforcing strong risk management and financial stability.

## Industry Partnerships That Strengthen Our Market Position

Treasury Curve has long-term relationships with leading institutional asset management providers, further demonstrating our financial viability. Most recently, we announced a strategic partnership with Hilltop Securities, reinforcing our industry presence and commitment to delivering innovative financial solutions.

## A Trusted Name in Treasury Technology

- ✓ **Proven Longevity**  
20+ years delivering innovative treasury and cash management solutions.
- ✓ **Regulatory Excellence**  
Continuous monthly financial reviews since 2006.
- ✓ **Market Leadership**  
Trusted by organizations for transparency, security, and efficiency.
- ✓ **Continuous Innovation**  
Enhancing visibility, automation, and control in treasury.

Treasury Curve is built for long-term success, giving treasury and financial professionals the confidence they need in a technology partner they can trust.

Learn more at [treasurycurve.com](https://treasurycurve.com) | Call 1.877.9TCURVE | Contact [info@treasurycurve.com](mailto:info@treasurycurve.com)

\*Any claims, statements or testimonials may not be representative of the experience of all clients and is no guarantee of future performance or success.

\*Investments like stocks, bonds, mutual funds and annuities are:

Not FDIC Insured | Not Bank Guaranteed | May Lose Value

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Treasury Brokerage, LLC is a registered broker-dealer and a member FINRA/SIPC.