



New Account Application

Please complete the following forms and fax to the number below with a copy of the Articles of Incorporation and Corporate Resolution for your organization, as well as your W9.

Account Representative: _____

Please send completed original form to:

Treasury Curve
648 Menlo Avenue, Suite 2
Menlo Park, CA 94025

To place an order, please call
1-877-982-8783

Fax 650-838-9207

IMPORTANT NOTICE – COMPLIANCE WITH THE USA PATRIOT ACT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each registered owner of an account. What this means to you: Corporate applicants must provide a corporate resolution that grants the undersigned the full right, power and authority to execute this Account Application. You may provide copies of the signed corporate resolution or a Secretary's Certificate setting forth the corporate resolution. For Financial Intermediaries – Certification with respect to your anti-money laundering policies, customer identification procedures and your evaluation of the underlying client must be provided. Treasury Brokerage will deliver to you a form of the certification upon receipt of this application. All other applicants must provide with this application at least one of the following documents (or equivalent documents) as applicable: Certified Articles of Incorporation; Partnership Agreement; Trust Instrument (e.g. Declaration of Trust); or government-issued business license.

Please select the account type that most closely resembles your organization:

- | | | |
|--|--|---|
| <input type="checkbox"/> S - Corporation | <input type="checkbox"/> Public Sector | <input type="checkbox"/> Intermediary Financial Institution |
| <input type="checkbox"/> C – Corporation | <input type="checkbox"/> Insurance Company | <input type="checkbox"/> Direct Financial Institution |

Account Registration

Account name: _____

(a.) Account address (no PO Boxes please):

(b.) City: _____ State: _____ Zip Code: _____

(c.) Tax ID/SSAN (Enter all hyphens): _____

Client Information

Name: _____ Title: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Phone No.: _____ Fax No.: _____

Email Address: _____

Duplicate statements requested (please attach the list of address(es) for additional statements)

Primary redemption wire instructions

Bank Name: _____

Bank Address: _____

Bank Account Number: _____

Account Name: _____

Bank ABA#: _____

FFC: _____

Secondary redemption wire instructions (attach additional pages if necessary)

Bank Name: _____

Bank Address: _____

Bank Account Number: _____

Account Name: _____

Bank ABA#: _____

FFC: _____

Dividend wire instructions

Dividends: Cash Reinvest If reinvest, no wire instructions are necessary.

Bank Name: _____

Bank Address: _____

Bank Account Number: _____

Account Name: _____

Bank ABA#: _____

FFC: _____

Authorized trader(s) (attach additional pages if necessary):

The following users all have Inquiry rights; please select the appropriate additional level of user authorization.

1. _____ Trade Authorization Maintenance Authorization

Phone No.: _____ E-mail: _____

2. _____ Trade Authorization Maintenance Authorization

Phone No.: _____ E-mail: _____

3. _____ Trade Authorization Maintenance Authorization

Phone No.: _____ E-mail: _____



Purchase Trade Payment Obligation

The undersigned agrees and understands that in the event Treasury Brokerage, LLC does not receive payment of cleared funds for a confirmed purchase trade prior to the close of the Federal Reserve wire transfer system (typically 6 p.m. Eastern time), the organization represented by the undersigned will be liable for overdraft charges, incurred as a result of late or non-payment.

Signature authorization

By the execution of this Account Application, the undersigned represents and warrants that it has full right, power and authority to make the investment(s) applied for pursuant to this Application and is acting for itself or in some fiduciary capacity in making such investment, and that the individual(s) signing on behalf of the undersigned represent and warrant that they are fully authorized to sign the Application and to purchase and redeem Fund Shares on behalf of the undersigned. The undersigned "Client" has entered into a brokerage services agreement with Treasury Brokerage, LLC ("Treasury Brokerage") pursuant to which Treasury Brokerage may open, close and manage accounts, purchase and redeem shares ("Shares") of open-end money market mutual funds ("Funds") registered with the Securities and Exchange Commission through this Portal, all For the Benefit Of (FBO) Client. The undersigned affirms that it has received and reviewed a current Fund Prospectus, Privacy Policy, Business Continuity Plan, and Customer Identification Policy and declares that it understands and will comply with the same to the extent applicable.

In addition, by signing the application below, the undersigned acknowledges understanding that Treasury Brokerage will deliver Trade Confirmations and Monthly Statements via electronic means unless you specifically request hardcopy delivery. Furthermore, you also understand and acknowledge that you have been advised that you may revoke this decision and request hardcopy delivery of future Trade Confirmations and Monthly Statements in addition to the standard electronic version(s).

In addition, by signing the application below you, (1) Acknowledge that the account will be opened with Treasury Brokerage, LLC, a wholly-owned subsidiary of Treasury Holdings, LLC (together with Treasury Brokerage, LLC, "Treasury Curve"); (2) Acknowledge that neither Treasury Curve, nor its agents, successors or assigns, are tax or legal advisors; (3) Acknowledge that Treasury Curve has advised you to seek your own tax and legal advice in regard to this and any other investment or transaction; (4) Acknowledge that you did seek your own tax and legal advice in regard to this and any other investment or transaction; (5) Acknowledge that you will indemnify and hold harmless Treasury Curve and its agents, successors or assigns for any tax or legal consequence arising as a result of your investment in a particular fund, and agree to indemnify and hold harmless Treasury Curve and its agents upon first demand against any losses, costs and expenses, including interest, transaction charges, and any other expenses attributable to its breach of the declarations made herein or any other representations and warranties made to Treasury Curve, or to the late settlement of any transactions arising from any misrepresentation under these declarations or any breach of its obligations relating to its transactions in any Fund unless such losses are due to the willful default or gross negligence Treasury Curve or its agents; (6) Acknowledge that you will be solely responsible for complying with all the relevant legal, tax and exchange control regulations in force in the applicable country of your citizenship, residence or domicile, and those of any other jurisdiction applicable to your transactions involving Treasury Curve.

I certify under penalties of perjury that: (1) I am/we are a U.S. person (s) (including a U.S. resident alien) and the Taxpayer Identification Number provided is correct; and (2) I am/we are not subject to backup withholding because (a) I am/we are exempt from backup withholding; or (b) I/we have not been notified by the Internal Revenue Service (IRS) that I am/we are subject to backup for failure to report all dividend and interest income; or (c) I/we have been notified by the IRS that I am/we are no longer subject to backup withholding. (Cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.) The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Please indicate if you are considered an exempt payee.		Exempt Payee
Signature: _____	Signature: _____	Signature: _____
Name (Print): _____	Name (Print): _____	Name (Print): _____
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____

To the extent permitted by applicable law, Treasury Brokerage reserves the right to (i) place limits on transactions in any account until the identity of the Investor is verified;(ii) to refuse an investment, or (iii) to involuntarily redeem an Investor's shares and close an account in the event that Treasury Brokerage is unable to verify an Investor's identity. Treasury Brokerage, LLC, and its affiliates, assigns or contractors, may receive compensation from each fund company for which services are rendered. These fees are paid from the funds normal operating expenses and do not represent any additional cost to the client. Additionally, client has entered into a brokerage services agreement with Treasury Brokerage, LLC ("Treasury Brokerage") pursuant to which Treasury Brokerage may open, close and manage accounts, purchase and redeem shares ("Shares") of open-end money market mutual funds ("Funds") registered with the Securities and Exchange Commission through this Portal, all For the Benefit Of (FBO) Client

Please keep the following information for your records.

Our commitment to Your Privacy: Treasury Brokerage, LLC has a longstanding policy of protecting the confidentiality and security of information we collect about customers. We will not share nonpublic information about you (“Information”) without your consent, except for specific purposes described below. This notice describes the Information we may gather and the circumstances under which we may share it.

Why We Collect and How We Use Information: We limit the collection and use of Information to the minimum we require to deliver superior service to you. Such service includes maintaining your accounts with us, processing transactions requested by you and administering our business.

How We Gather Information: We get most Information directly from you, when you apply for, access and use financial products and services offered by our firm. We may verify this information or get additional information from consumer reporting agencies or public sources. This Information may relate to your finances, employment, as well as transactions and interactions with our firm or others.

How We Protect Information: Our employees are required to protect the confidentiality of Information and to comply with our established policies. They may access Information only when there is an appropriate reason to do so, such as to administer our products or services. We also maintain physical, electronic and procedural safeguards to protect Information, which comply with all applicable laws. Employees who violate our Privacy Policy are subject to disciplinary process.

Disclosure of Information: We may disclose any Information to or when we believe it necessary for the conduct of our business, or where law requires disclosure. For example, Information may be disclosed for audit or research purposes, to attorneys or other professionals, or to law enforcement and regulatory agencies, for example, to help prevent fraud. In addition, we may disclose Information to third party services providers (i) to enable them to provide business services for our firm, such as performing computer related data maintenance or processing services for our firm, (ii) to facilitate the processing of transactions requested by you, (iii), to assist us in offering products and services to you, or (iv) for credit review and reporting purposes. Except in those specific, limited situations, without your consent, we will not make any disclosures of Information to other companies who may want to sell their products or services to you. For example, we do not sell customer lists and we will not sell your name to a catalogue company. It is the firms’ policy to require all third parties that are to receive any Information to sign strict confidentiality agreements.

Former Customers: Even if you are no longer a customer of our firm, our Privacy Policy will continue to apply.

Access to and Correction of Information: If you desire to review any file we may maintain for your personal Information, please contact us. If you notify us that any Information is incorrect, we will review it. If we agree, we will correct our records. If we do not agree, you may submit a short statement of dispute, which we will include in future disclosures of disputed Information. Information collected in connection with, or in anticipation of, any claim or legal proceeding will not be made available.

Further Information: We reserve the right to change this Privacy Policy. The examples contained within this Privacy Policy are illustrations and they are not intended to be exclusive. This notice complies with a recently enacted Federal Law and new SEC regulations regarding privacy. You may have additional rights under other foreign or domestic laws that may apply to you.

General Instructions

What is this form?

To help the government fight financial crime, Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. The lack of transparency of the ownership control of legal entities can lead to involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e., the beneficial owners) helps ensure the transparency of the financial system.

Who has to complete this form?

This form must be completed by the person opening an account with a mutual fund in the United States, on behalf of a legal entity.

For the purposes of this form, a legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. Legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

What information do I have to provide?

This form requires you to provide the name, main residential address, date of birth and Social Security number (SSN for U.S. persons or passport number or other similar information, in the case of non-U.S. persons) for the following individuals (i.e., the **beneficial owners**):

- Each individual, if any, who owns, directly or indirectly, 25% or more of the equity interests of the legal entity customer (e.g., each natural person that owns 25% or more of the shares of a corporation); **and**
- An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer).

The number of individuals that satisfy this definition of “beneficial owner” may vary. Under section (i), depending on the factual circumstances, up to four individuals (but as few as zero) may need to be identified. Regardless of the number of individuals identified under section (i), you must provide the identifying information of one individual under section (ii).

It is possible that in some circumstances the same individual might be identified under both sections (e.g., the President of the company who also holds a 30% equity interest). Thus, a completed form will contain the identifying information of at least one individual (under section (ii)), and up to five individuals (i.e., one individual under section (ii) and four 25% equity holders under section (i)).

Treasury Curve may also ask to see a copy of a driver’s license or other identifying document for each beneficial owner listed on this form.

Certification of Beneficial Owner(s)

Persons opening an account on behalf of a legal entity must provide the following information:

A. Full name and Title of the natural person opening the account _____

B. Name, type, and address of Legal Entity for which the account is being opened:

Full name of Legal Entity _____ Type (corporation, LLC, etc.) _____

Street # _____ Street name _____ City _____ State _____ ZIP Code _____

C. The following information for **each** individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25% or more of the equity interests of the legal entity listed above:

Name	Date of Birth	Address (Residential or Business Street)	For U.S. Persons: Social Security Number	For Non-U.S. Persons: Social Security Number, Passport Number & Country of Issuance, or other similar identification number ¹

(If no individual meets this definition, please write "Not Applicable")

D. The following information for **one** individual with significant responsibility for managing the legal entity listed above, such as:

- An Executive officer or senior manager (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer), **OR**
- Any other individual who regularly performs similar functions. (If appropriate, an individual listed under section (c) above may be listed in this section (d)).

Name	Date of Birth	Address (Residential or Business Street)	For U.S. Persons: Social Security Number	For Non-U.S. Persons: Social Security Number, Passport Number & Country of Issuance, or other similar identification number ¹

I, _____ (name of natural person opening the account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature _____ Date (mm/dd/yyyy) _____ Legal Entity Identifier (if applicable) _____

¹ In lieu of a passport number, non-U.S. persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document(s) evidencing nationality or residence and bearing a photograph or similar safeguard.